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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/827,121	
	<b>Filing Date</b>	April 16, 2004	
	<b>First Named Inventor</b>	John D. Baxter	
	<b>Group Art Unit</b>	1631	
	<b>Examiner Name</b>	Carolyn L. Smith	
<b>Total Number of Pages in This Submission</b>	11	<b>Attorney Docket Number</b>	407J-981114US

ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary Baker, Reg. No: 41,595, Quine Intellectual Property Law Group P.C.
Signature	
Date	January 7, 2005

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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Deborah Berwick  
Deborah Berwick

Attorney Docket No. 407J-981114US  
Client Ref. No. 96-026-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John D. Baxter, et al.

Application No.: 10/827,121

Filed: April 16, 2004

For: NUCLEAR RECEPTOR LIGANDS  
AND LIGAND BINDING DOMAINS

Examiner: Carolyn L. Smith

Art Unit: 1631

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Commissioner for Patents  
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Sir:

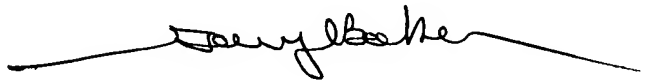
The references cited on the attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 08/980,115 (now U.S. Patent 6,266,622) filed November 26, 1997. An additional 1449 form citing an additional reference is also enclosed. A copy of the newly cited reference is enclosed. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 08/980,115 (now U.S. Patent 6,266,622) filed November 26, 1997 are not provided. However the applicants will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

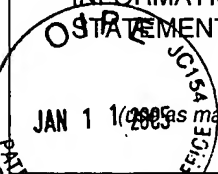
A handwritten signature in black ink, appearing to read "Gary Baker", is written over a horizontal line.

Gary Baker  
Reg. No. 41,595

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Substitute for form 1449A-B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (as many sheets as necessary)  	<b>Complete if Known</b>	
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Date Submitted	January 7, 2005	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1	4,741,897		Andrews et al.	05-03-1988	
	2	4,766,121		Ellis et al.	08-23-1988	
	3	4,826,876		Ellis et al.	05-02-1989	
	4	4,910,305		Ellis et al.	03-20-1990	
	5	5,061,798		Emmett et al.	10-29-1991	
	6	5,116,828		Miura et al.	05-26-1992	
	7	5,171,671		Evans et al.	12-15-1992	
	8	5,284,999		Chin et al.	02-08-1994	
	9	5,312,732		Evans	05-17-1994	
	10	5,322,933		Davies et al.	06-21-1994	
	11	5,403,925		Ozato	04-04-1995	
	12	5,438,126		DeGroot et al.	08-01-1995	
	13	5,463,564		Agrafiotic et al.	10-31-1995	
	14	5,466,861		Dawson et al.	11-14-1995	

FOREIGN PATENT DOCUMENTS								
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		Office	Number	Kind Code (if known)				
	15	EP	355,628			08-11-1989		
	16	WO	97/21993		Regents of the University of California	06-19-1997		
	17	WO	98/07435		Regents of the University of California	02-26-1998		
	18	WO	98/57919		Regents of the University of California	12-23-1998		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	19	ANDREA ET AL. (1979) "A model for Thyroid Hormone-Receptor Interactions." <i>J. Med. Chem.</i> 22: 221-232	
	20	APRILETTI ET AL (1988) "Large Scale Purification of the Nuclear Thyroid Hormone Receptor From Rat Liver and Sequence-specific Binding of the Receptor to DNA." <i>J. Biol. Chem.</i> 263:9409-9417.	
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52	HAJDUK ET AL. (1997) "Discovering High Affinity Ligands for Proteins." <i>Science</i> 278:497-499.	
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68	KEDIEL ET AL. (1994) "Different Agonist and Antagonist Induced Conformational Changes in Retinoic Acid Receptors Analyzed by Protease Mapping." <i>Mol Cell Biol</i> 14:287-298.	
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	72	LEDOUARIN ET AL. (1995) "The N-Terminal Part of TIF1, a Putative Mediator of the Ligand-Dependent Activation Function . . ." <i>EMBO Journal</i> 14:2020-2033.	
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	92	NICHOLLS ET AL. (1991) "Protein Folding and Association: Insites From the Interfacial and Thermodynamic Properties of Hydrocarbons." <i>Proteins</i> 11:281-296.	
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	Attorney Docket Number	<b>407J-981114US</b>
	Date Submitted	<b>January 7, 2005</b>

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Substitute for form 1449A-B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>	<b>Complete if Known</b>	
	Application Number	<b>10/827,121</b>
	Filing Date	<b>April 16, 2004</b>
	First Named Inventor	<b>John D. Baxter</b>
	Group Art Unit	<b>1631</b>
	Examiner Name	<b>Carolyn L. Smith</b>
	Attorney Docket Number	<b>407J-981114US</b>
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U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	AA	5,298,429		Evans et al.	03-29-1994	
	AB	5,307,287		Cramer III et al.	04-26-1994	
	AC	5,459,077		Moore et al.	10-17-1995	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T
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